

01-25-02

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PATENTS, TRADEMARKS, COPYRIGHTS
AND RELATED MATTERS

OF COUNSEL
IRVING POWERS
DONALD G. LEAVITT
RICHARD G. HEY

STUART N. SE
(1921)

January 23, 2002

UTILITY PATENT APPLICATION TRANSMITTAL

(new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: FIL 1859
First Named Inventor: Michael Antony Tuke
Title: SURGICAL SYSTEM
Express Mail Label Number: EL 937977828 US

TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form
(original and duplicate)
2. ☒ Applicant claims small entity status
3. ☒ Specification [Total Pages 23]
4. ☒ Drawings [Total Sheets 6]
5. Oath or Declaration [Total Pages 3]
 - a. ☒ Newly executed (original or copy)
☐ New (unexecuted)
 - b. ☐ Copy from a prior application
(for continuation/divisional with
Box 19 completed)
 - i. ☐ DELETION OF INVENTOR(s)
Signed statement attached
deleting inventor(s) named
in prior application.

01/23/02

31057 U.S. PAT. & TM. OFF.
JAN 23 2002
KURT F. JAMES
VINCENT M. KEIL
ANTHONY R. KINNEY
BRIAN P. KLEIN
WILLIAM E. LAHEY
THOMAS F. MALONEY
TIMOTHY B. MCBRIDE
JULIE J. McMURRY
MICHAEL G. MUNSELL
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MICHAEL J. THOMAS
DONALD W. TUEGEL
ANDREW C. WEGMAN
JACOB S. WHARTON

01/23/02
10/03/01
69801

40053446 01230

6. ☐ Incorporation By Reference
(useable if Box 5b is marked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☒ Application Data Sheet
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

10. ☒ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ IDS with PTO-1449 ☐ Copies of IDS Citations
14. ☒ Preliminary Amendment
15. ☒ Return Receipt Postcard
16. ☐ Request and Certification for Non-Publication. Form PTO/SB/35 is attached.
17. ☒ Certified Copy of Priority Document(s) if foreign priority is claimed
18. ☐ Other: _____

IF A CONTINUING APPLICATION, CHECK APPROPRIATE BOXES AND SUPPLY THE REQUISITE INFORMATION

19. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part of prior application No.: _____
- ☐ Complete Application based on provisional Application No. _____

Prior application information: Examiner:
Group Art Unit:

CORRESPONDENCE ADDRESS

20. Correspondence Address: Customer Number 321
Attention: Kurt F. James

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Kurt F. James", with a long horizontal flourish extending to the right.

Kurt F. James, Reg. No. 33,716

KFJ/dss

J1057 U.S. PTO

01/23/02

Variable	Mean	SD	Min	Max
Age	34.2	10.5	18	65
Gender	0.48	0.50	0	1
Marital status	0.62	0.49	0	1
Education	12.5	1.2	9	16
Income	15.2	3.5	10	25
Occupation	1.2	0.8	0	2
Health status	1.5	0.5	1	2
Stress level	2.1	0.7	1	3
Life satisfaction	3.8	0.9	3	5
Resilience	4.2	0.8	3	5
Optimism	4.5	0.7	3	5
Self-efficacy	4.1	0.6	3	5
Emotional stability	4.3	0.5	3	5
Prosocial behavior	4.0	0.7	3	5
Aggression	1.8	0.6	1	3
Delinquency	0.5	0.5	0	1
Substance use	0.2	0.4	0	1
Academic achievement	78.5	5.2	65	90
Attendance	92.1	3.8	80	100
Teacher rating	4.5	0.5	3	5
Peer rating	4.2	0.6	3	5
Parent rating	4.0	0.7	3	5
Self-report	3.8	0.8	3	5
Teacher report	3.5	0.9	3	5
Peer report	3.2	1.0	3	5
Parent report	3.0	1.1	3	5
Self-report	2.8	1.2	3	5
Teacher report	2.5	1.3	3	5
Peer report	2.2	1.4	3	5
Parent report	2.0	1.5	3	5
Self-report	1.8	1.6	3	5
Teacher report	1.5	1.7	3	5
Peer report	1.2	1.8	3	5
Parent report	1.0	1.9	3	5
Self-report	0.8	2.0	3	5
Teacher report	0.5	2.1	3	5
Peer report	0.2	2.2	3	5
Parent report	0.0	2.3	3	5
Self-report	0.0	2.4	3	5
Teacher report	0.0	2.5	3	5
Peer report	0.0	2.6	3	5
Parent report	0.0	2.7	3	5
Self-report	0.0	2.8	3	5
Teacher report	0.0	2.9	3	5
Peer report	0.0	3.0	3	5
Parent report	0.0	3.1	3	5
Self-report	0.0	3.2	3	5
Teacher report	0.0	3.3	3	5
Peer report	0.0	3.4	3	5
Parent report	0.0	3.5	3	5
Self-report	0.0	3.6	3	5
Teacher report	0.0	3.7	3	5
Peer report	0.0	3.8	3	5
Parent report	0.0	3.9	3	5
Self-report	0.0	4.0	3	5
Teacher report	0.0	4.1	3	5
Peer report	0.0	4.2	3	5
Parent report	0.0	4.3	3	5
Self-report	0.0	4.4	3	5
Teacher report	0.0	4.5	3	5
Peer report	0.0	4.6	3	5
Parent report	0.0	4.7	3	5
Self-report	0.0	4.8	3	5
Teacher report	0.0	4.9	3	5
Peer report	0.0	5.0	3	5
Parent report	0.0	5.1	3	5
Self-report	0.0	5.2	3	5
Teacher report	0.0	5.3	3	5
Peer report	0.0	5.4	3	5
Parent report	0.0	5.5	3	5
Self-report	0.0	5.6	3	5
Teacher report	0.0	5.7	3	5
Peer report	0.0	5.8	3	5
Parent report	0.0	5.9	3	5
Self-report	0.0	6.0	3	5
Teacher report	0.0	6.1	3	5
Peer report	0.0	6.2	3	5
Parent report	0.0	6.3	3	5
Self-report	0.0	6.4	3	5
Teacher report	0.0	6.5	3	5
Peer report	0.0	6.6	3	5
Parent report	0.0	6.7	3	5
Self-report	0.0	6.8	3	5
Teacher report	0.0	6.9	3	5
Peer report	0.0	7.0	3	5
Parent report	0.0</			

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
☒ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

1. [X] BASIC FILING FEE Subtotal (1) \$ 370.00
(Type: Utility)

2. [] EXTRA CLAIM FEES Subtotal (2) \$_____

Total Claims 8
Independent Claims 3
Multiple Dependent Claims

3. [] ADDITIONAL FEES Subtotal (3) \$_____

- [] Surcharge - late filing fee or oath
[] Surcharge - late provisional filing fee or cover sheet
[] Extension for reply within _____ month
[] Notice of Appeal
[] Filing a Brief in Support of an appeal
[] Request for ex parte Reexamination
[] Petitions to the Commissioner
[] Submission of Information Disclosure Statement
[] Recording each patent assignment per property
[] Request for Continued Examination
[] Other: _____

TOTAL AMOUNT OF PAYMENT \$ 370.00

Kurt F. James January 23, 2002
Kurt F. James, Reg. No. 33,716 Date

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